



NOT REC'D PGT/PTO 06 MAR 2006

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/559,496
Filing Date	December 6, 2005
First Named Inventor	TSUYOSHI INOUE
Art Unit	To be determined
Examiner Name	To be determined
Attorney Docket Number	187295/US-465122-00019

ENCLOSURES (Check all that apply)

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Reply to Missing Parts/
Incomplete Application
<input checked="" type="checkbox"/> Reply to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC

<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify
below):
Executed assignment with assignment
recordal and Return Receipt Postcard |
|--|--|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Dorsey & Whitney, L.L.P.		
Signature			
Printed name	Gary Abelev, Esq.		
Date	March 2, 2006	Reg. No.	Reg. Nos. 40,479

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Gary Abelev, Esq.	Date	March 2, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. - This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Effective on 12/08/04

Complete if Known

Application No.	10/559,496
Filing Date	December 6, 2005
First Inventor	TSUYOSHI INOUE
Group Art Unit	To be assigned
Examiner Name	To be assigned
Atty. Docket Number	187295/US-465122-00019

OFFICE OF THE PATENT AND TRADEMARK OFFICE **FEE TRANSMITTAL SHEET** **(FY 2005)**

☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

METHOD OF PAYMENT (Check One)

☒ Check ☐ Credit Card ☐ Money Order

☒ Deposit Account No.: **50-2054**

 Deposit Account Name: **DORSEY & WHITNEY LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge any additional fees or underpayments of fee(s) under 37 C.F.R. 1.16 and 1.17

☒ Credit any overpayments

☐ Other (please identify):

Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total	-	=	x \$	= \$
Ind.	-	=	x \$	= \$
Multiple Dependent Claims	-	=	x \$	= \$
Subtotal (Extra Claims)				\$

Petition Fee Under 37 CFR 1.17(f), (g), & (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

- ☐ Petition Fee under 37 CFR 1.17(f) **Fee \$400**
- § 1.53(e) to accord a filing date.
 - § 1.57(a) to accord a filing date.
 - § 1.182 for decision on a question not provided for.
 - § 1.183 to suspend the rules.
 - § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.
 - § 1.174(b) to accord a filing date to an application under § 1.740 for extension of patent term.
- ☐ Petition Fee under 37 CFR 1.17(g) **Fee \$200**
- § 1.12 for access to an assignment record.
 - § 1.14 for access to an application.
 - § 1.47 for filing by other than all inventors or person not the inventor.
 - § 1.59 for expungement of information.
 - § 1.103(a) to suspend action in an application.
 - § 1.136(b) for review of a request for ext. of time when § 1.136(a) not avail.
 - § 1.295 for review of refusal to publish a statutory invention registration.
 - § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.
 - § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.
 - § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.
 - § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.
 - § 5.12 for expedited handling of foreign filing license.
 - § 5.15 for changing the scope of a license.
 - § 1.5.25 for retroactive license.
- ☐ Petition Fee under 37 CFR 1.17(h) **Fee \$130**
- § 1.19(g) to request documents in a form other than provided in this part.
 - § 1.84 for accepting color drawings or photographs.
 - § 1.91 for entry of a model or exhibit.
 - § 1.102(d) to make an application special.
 - § 1.138(c) to expressly abandon an application to avoid publication.
 - § 1.313 to withdraw an application from issue.
 - § 1.314 to defer issuance of a patent.

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	130
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	40
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1,020	510	Extension for reply within third month	
1,590	795	Extension for reply within fourth month	
2,160	1,080	Extension for reply within fifth month	
790	395	Submission After Final 1.129	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1,000	500	Request for oral hearing	
130	65	Terminal Disclaimer Fee	
800	400	Design Issue Fee	
790	395	Request for Continued Examination (RCE)	
130		Request for voluntary publication or republication	
500	250	Petition to Revive - unavoidable	
1,500	750	Petition to Revive - unintentional	
200		Filing for patent term adjustment	
400		Request for reinstatement of term reduced	
1,120		Extension of term of patent	

OTHER FEE (specify)

Subtotal (Additional Fees)

Total Amount of Payment: \$ 170

Submitted by:

 CUSTOMER NUMBER
30873

DORSEY & WHITNEY LLP

 250 Park Avenue
New York, NY 10177

 Name: **Gary Abelev, Esq.**

 Phone No.: **212-415-9371**

 Fax No.: **212-953-7201**

Signature:

 Reg. No.: **40,479**

 Date: **March 2, 2006**



Docket: 187120/US/2 –
465122-00017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Tsuyoshi INOUE	
Appln. No.:	10/559,496	
Filed:	December 6, 2005	Examiner: TBD
Title:	LUBRICATED HOT ROLLING METHOD	Group Art Unit: 2828

**RESPONSE TO NOTIFICATION OF
MISSING REQUIREMENTS UNDER 37 C.F.R. § 1.53(b)**

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U. S. mail addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this day of March 2, 2006.


(Signature)

Sir:

This paper is filed in response to the Notification of Missing Requirements ("Notification") mailed February 23, 2006 for the above-identified application. Enclosed herewith, please find an executed combined Declaration and Power of Attorney by the inventors which is filed for responding to the Notification. Also enclosed is an executed assignment and assignment recordal. A check in the amount of \$130 and \$40 for the assignment with a total of \$170 is enclosed.

A copy of the Notification is enclosed.

03/08/2006 MKAYPAGH 00000155 10559496


01 FC:1617

130.00 OP

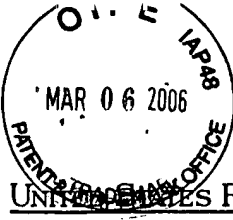
Date: March 2, 2006

Respectfully submitted,

DORSEY & WHITNEY LLP

By: 
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4821-5416-9600\1
4848-6146-6624\1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/559,496	Tsuyoshi Inoue	187295/US-465122-0019

INTERNATIONAL APPLICATION NO.

PCT/JP04/08152

I.A. FILING DATE	PRIORITY DATE
06/04/2004	06/06/2003

30873
 DORSEY & WHITNEY LLP
 INTELLECTUAL PROPERTY DEPARTMENT
 250 PARK AVENUE
 NEW YORK, NY 10177

Patent Mail Received

MAR 02 2006

CONFIRMATION NO. 8718

371 FORMALITIES LETTER



OC000000018118365

Date Mailed: 02/23/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/03/2005
- Copy of the International Search Report filed on 12/03/2005
- Copy of IPE Report filed on 12/03/2005
- Preliminary Amendments filed on 12/03/2005
- Information Disclosure Statements filed on 12/03/2005
- Request for Immediate Examination filed on 12/03/2005
- U.S. Basic National Fees filed on 12/03/2005
- Substitute Specification filed on 12/03/2005
- Priority Documents filed on 12/03/2005
- Specification filed on 12/03/2005
- Claims filed on 12/03/2005
- Abstracts filed on 12/03/2005
- Drawings filed on 12/03/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

DOCKETED

4/23/06 - w/o EOT

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/559,496	PCT/JP04/08152	187295/US-465122-0019

FORM PCT/DO/EO/905 (371 Formalities Notice)